

Form PTO-1449 (modified)

Atty. Docket No.

Serial No.

01-491

09/897,518

List of Patents and Publications for Applicant's

Applicant

David James Stevenson et al.



SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:

July 02, 2001

Group:

2152

U.S. Patent Documents

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Foreign Patent Documents

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Other Art

See Page 2

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
MDM	A1	5,696,486	12/09/1997	Poliquin et al.	340	506	11/16/1995
MDM	A2	5,828,830	10/27/1998	Rangarain et al.	395	185.01	10/30/1996
	A3						
	A4						
	A5						
	A6						
	A7						
	A8						
	A9						
	A10						

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Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
MDM	B1	EP 1065894A1	01/03/2001	European	H04Q	3/00	
	B2						
	B3						
	B4						
	B5						

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	B1						
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	B3						
	B4						
	B5						

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
MDM	C1	Decker et al., "Definitions Of Managed Objects For Bridges", RFC: 1493, July 1993, pages 1-34.
MDM	C2	McMaster et al., "Definitions Of Managed Objects For IEEE 802.3 Repeater Devices", RFC: 1516, September 1993, pages 1-40.
MDM	C3	S. Waldbusser, "Remote Network Monitoring Management Information Base", RFC:1757, February 1995, pages 1-91.
MDM	C4	McCloghrie et al., "Management Information Base For Network Management Of TCP/IP-Based Internets: MIB-II", RFC:1213, March 1991, pages 1-70.
MDM	C5	IEEE Standard For Information Technology, "Part 2: Logical Link Control", 802.2, 1998, pages i-239.
MDM	C6	IEEE Standard For Information Technology, "Part 3: Carrier Sense Multiple Access With Collision Detection (CSMA/CD) Access Method And Physical Layer Specifications", 802.3, 2000, pages i-1515.
	C7	
	C8	
	C9	
	C10	
	C11	
	C12	

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Michael S. Merritt

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INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

FORM PTO-1449 (Rev. 2-32)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 01-491	Serial No. 09/897,518
SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		RECEIVED APR 24 2002	
		Filing Date: July 2, 2001	

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
MDM	1.	5,471,399	11-28-95	Tanaka et al.	364	491	08-17-92
MDM	2.	5,615,323	03-25-97	Engel et al.	395	140	03-12-96
MDM	3.	5,751,964	05-12-98	Ordanic et al.	395	200.54	09-12-95
MDM	4.	5,819,028	10-06-98	Manghirmalani et al.	395	185.1	04-16-97
MDM	5.	6,040,834	03-21-00	Jain et al.	345	356	12-31-96
MDM	6.	5,923,247	07-13-99	Dowden et al.	340	506	12-22-95

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Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation
MDM	7.	GB 2271918A	04-27-94	UK	H04L	12/26	
MDM	8.	GB 2286317A	08-09-95	UK	H04L	01/24	
MDM	9.	GB 2362062A	11-07-01	UK	H04Q	03/00	
MDM	10.	GB 2350035A	11-15-00	UK	H04L	12/26	
MDM	11.	EP 0515296A1	11-25-92	EP	H04L	12/26	
MDM	12.	EP 0849910A2	06-24-98	EP	H04L	12/26	
MDM	13.	WO 97/31451	08-28-97	WIPO	H04L	12/24	
MDM	14.	GB 2362061A	11-07-01	UK	H04Q	3/00	
MDM	15.	WO 00/47003	08-10-00	PCT	H04Q	3/00	

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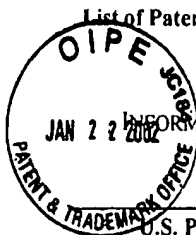
David James Stevenson et al.

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See Page 1

Other Art

See Page 2

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
MDM	C1	Lenoir et al., "Real-Time Transmission Network Management And Operation Support System", Commutation & Transmission, Vol. 12, No. 3, 1990, pages 87-98.
	C2	
	C3	
	C4	
	C5	
	C6	
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Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation
MDM	16.	WO 94/19888	09-01-94	PCT	H04L	12/24	
MDM	17.	WO 00/72514	11-30-00	PCT	H04L	12/24	
MDM	18.	WO 00/13373	03-09-00	PCT	H04L	12/26	
MDM	19.	WO 98/19470	05-07-98	PCT	H04Q	3/00	
MDM	20.	GB 2333672A	07-28-99	UK	H04L	12/26	
MDM	21.	WO 96/04755	02-15-96	PCT	H04Q	03/00	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

MDM	22.	S. Waldbusser, "Remote Network Monitoring Management Information Base", RFC 1271, November 1991, pp. 1-81.					
MDM	23.	S. Waldbusser, "Remote Network Monitoring Management Information Base", RFC 2021, January 1997, pp. 1-114.					
EXAMINER <i>Yuh-Der Yen</i>				DATE CONSIDERED <i>9/29/04</i>			

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FORM PTO-1449 (Rev. 2-32)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 01-491	Serial No. 09/897,518
THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		Applicant: David James Stevenson et al.	
		Filing Date: July 2, 2001	Group: 2152



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Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
MDM	1.	6,167,032	12-26-00	Allison et al.	370	252	11-07-97
MDM	2.	6,438,184 B1	08-20-02	Nayler	375	345	01-12-99
MDM	3.	6,147,974	11-14-00	Matsumoto et al.	370	252	02-27-98
MDM	4.	5,732,094	03-24-98	Petersen et al.	371	51.1	09-16-96
MDM	5.	5,434,872	07-18-95	Petersen et al.	371	57.1	07-28-92

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